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Immanuel Albrecht and Winfried Hochstättler:

Lattice Path Matroids Are 3-Colorable

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Contact: immanuel.albrechtfernuni-hagen.de, winfried.hochstaettler@fernuni-hagen.de

FernUniversität in Hagen
Fakultät für Mathematik und Informatik
Lehrgebiet für Diskrete Mathematik und Optimierung
D – 58084 Hagen

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Lattice Path Matroids are 3-Colorable

Immanuel Albrecht Winfried Hochstättler

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Abstract

We show that every lattice path matroid of rank at least two has a quite simple coline, also known as a positive coline. Therefore every orientation of a lattice path matroid is 3-colorable with respect to the chromatic number of oriented matroids introduced by J. Nešetřil, R. Nickel, and W. Hochstättler.

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Recently, in order to verify the generalization of Hadwiger's Conjecture to oriented matroids for the case of 3-colorability, Goddyn et. al. [3] introduced the class of generalized series parallel (GSP) matroids and asked whether it coincides with the class of oriented matroids without $M(K_4)$ -minor. Furthermore, they showed that a minor closed class \mathcal{C} of oriented matroids is a subclass of the GSP-matroids, if every simple matroid in \mathcal{C} contains a flat of codimension 2, i. e. a coline, which is contained in more flats of codimension 1, i. e. copoints, with only one extra element, than in larger copoints. We call such a coline quite simple. They conjectured that every simple gammoid of rank at least 2 has a quite simple

I. Albrecht: FernUniversität in Hagen, Fakultät für Mathematik und Informatik, Lehrgebiet für Diskrete Mathematik und Optimierung, D-58084 Hagen, Germany; e-mail: Immanuel.Albrecht@fernuni-hagen.de

W. Hochstättler: FernUniversität in Hagen, Fakultät für Mathematik und Informatik, Lehrgebiet für Diskrete Mathematik und Optimierung, D-58084 Hagen, Germany; e-mail: Winfried.Hochstaettler@fernuni-hagen.de

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coline. Gammoids may be characterized as the smallest class of matroids that is closed under minors and under duality, and which contains all transversal matroids – a class of matroids that is not closed under minors nor duals. Bicircular matroids form a minor closed subclass of the transversal matroids, and Goddyn et. al. [3] verified the existence of a quite simple coline in every simple bicircular matroid of rank at least 2.

Another minor closed subclass of the transversal matroids is the class of the lattice path matroids [2]. In this work we show that every simple lattice path matroids of rank at least 2 has a quite simple coline, which implies that orientations of lattice path matroids are GSP, and therefore we obtain the 3-colorability of every orientation of a lattice path matroid.

1 Preliminaries

In this work, we consider *matroids* to be pairs $M = (E, \mathcal{I})$ where E is a finite set and \mathcal{I} is a system of independent subsets of E subject to the usual axioms ([4], Sec. 1.1). Furthermore, *oriented matroids* are considered triples $\mathcal{O} = (E, \mathcal{C}, \mathcal{C}^*)$ where E is a finite set, \mathcal{C} is a family of signed circuits and \mathcal{C}^* is a family of signed cocircuits subject to the axioms of oriented matroids ([1], Ch. 3). Every oriented matroid \mathcal{O} has a uniquely determined underlying matroid defined on the ground set E , which we shall denote by $M(\mathcal{O})$.³

Definition 1.1 ([3], Definition 4). Let $M = (E, \mathcal{I})$ be a matroid. A flat $X \in \mathcal{F}(M)$ is called *coline of M* , if $\text{rk}_M(X) = \text{rk}_M(E) - 2$. A flat $Y \in \mathcal{F}(M)$ is called *copoint of M on X* , if $X \subseteq Y$ and $\text{rk}_M(Y) = \text{rk}_M(E) - 1$. If further $|Y \setminus X| = 1$, we say that Y is a *simple copoint on X* . If otherwise $|Y \setminus X| > 1$, we say that Y is a *multiple copoint on X* . A *quite simple coline*⁵ is a coline $X \in \mathcal{F}(M)$, such that there are more simple copoints on X than there are multiple copoints on X .

The following definitions are basically those found in J.E. Bonin and A. deMier's paper *Lattice path matroids: Structural properties* [2].

Definition 1.2. Let $n \in \mathbb{N}$. A *lattice path* of length n is a tuple $(p_i)_{i=1}^n \in \{\text{N}, \text{E}\}^n$. We say that the i -th step of $(p_i)_{i=1}^n$ is towards the North if $p_i = \text{N}$, and towards the East if $p_i = \text{E}$.

³The underlying matroid is the only notion from oriented matroids that is needed for the comprehension of this work.

⁴In [3] multiple copoints are called *fat copoints*.

⁵In [3] quite simple colines are called *positive colines*.

Definition 1.3. Let $n \in \mathbb{N}$, and let $p = (p_i)_{i=1}^n$ and $q = (q_i)_{i=1}^n$ be lattice paths of length n . We say that p is *south of* q if for all $k \in \{1, 2, \dots, n\}$,

$$|\{i \in \mathbb{N} \setminus \{0\} \mid i \leq k \text{ and } p_i = \mathbb{N}\}| \leq |\{i \in \mathbb{N} \setminus \{0\} \mid i \leq k \text{ and } q_i = \mathbb{N}\}|.$$

We say that p and q have *common endpoints*, if

$$|\{i \in \mathbb{N} \setminus \{0\} \mid i \leq n \text{ and } p_i = \mathbb{N}\}| = |\{i \in \mathbb{N} \setminus \{0\} \mid i \leq n \text{ and } q_i = \mathbb{N}\}|$$

holds. We say that the *lattice path* p is *south of* q *with common endpoints*, if p and q have common endpoints and p is south of q . In this case, we write $p \preceq q$.

Definition 1.4. Let $n \in \mathbb{N}$, and let $p, q \in \{\mathbb{E}, \mathbb{N}\}^n$ be lattice paths such that $p \preceq q$. We define the set of *lattice paths between* p and q to be

$$P[p, q] = \{r \in \{\mathbb{N}, \mathbb{E}\}^n \mid p \preceq r \preceq q\}.$$

Definition 1.5. A matroid $M = (E, \mathcal{I})$ is called *strong lattice path matroid*, if its ground set has the property $E = \{1, 2, \dots, |E|\}$ and if there are lattice paths $p, q \in \{\mathbb{E}, \mathbb{N}\}^{|E|}$ with $p \preceq q$, such that $M = M[p, q]$, where $M[p, q]$ denotes the transversal matroid presented by the family $\mathcal{A}_{[p, q]} = (A_i)_{i=1}^{\text{rk}_M(E)} \subseteq E$ with

$$A_i = \left\{ j \in E \mid \exists (r_j)_{j=1}^{|E|} \in P[p, q] : r_j = \mathbb{N} \text{ and } |\{k \in E \mid k \leq j, r_k = \mathbb{N}\}| = i \right\},$$

i.e. each A_i consists of those $j \in E$, such that there is a lattice path r between p and q such that the j -th step of r is towards the North for the i -th time in total. Furthermore, a matroid $M = (E, \mathcal{I})$ is called *lattice path matroid*, if there is a bijection $\varphi: E \rightarrow \{1, 2, \dots, |E|\}$ such that $\varphi[M] = (\varphi[E], \{\varphi[X] \mid X \in \mathcal{I}\})$ is a strong lattice path matroid.

Example 1.6. (Fig. 1a) Let us consider the two lattice paths $p = (\mathbb{E}, \mathbb{E}, \mathbb{N}, \mathbb{E}, \mathbb{N}, \mathbb{N})$ and $q = (\mathbb{N}, \mathbb{N}, \mathbb{E}, \mathbb{N}, \mathbb{E}, \mathbb{E})$. We have $p \preceq q$ and the strong lattice path matroid $M[p, q]$ is the transversal matroid $M(\mathcal{A})$ presented by the family $\mathcal{A} = (A_i)_{i=1}^3$ of subsets of $\{1, 2, \dots, 6\}$ where $A_1 = \{1, 2, 3\}$, $A_2 = \{2, 3, 4, 5\}$, and $A_3 = \{4, 5, 6\}$.

Theorem 1.7 ([2], Theorem 2.1). *Let p, q be lattice paths of length n , such that $p \preceq q$. Let $\mathcal{B} \subseteq 2^{\{1, 2, \dots, n\}}$ consist of the bases of the strong lattice path matroid $M = M[p, q]$ on the ground set $E = \{1, 2, \dots, n\}$. Let*

$$\varphi: P[p, q] \rightarrow \mathcal{B}, \quad (r_i)_{i=1}^n \mapsto \{j \in \mathbb{N} \mid 1 \leq j \leq n, r_j = \mathbb{N}\}.$$

Then φ is a bijection between the family of lattice paths $P[p, q]$ between p and q and the family of bases of M .

Proof. Clearly, φ is well-defined: let $r = (r_i)_{i=1}^n \in P[p, q]$, and let $m = \text{rk}_M(E)$, then there are $j_1 < j_2 < \dots < j_m$ such that $r_i = N$ if and only if $i \in \{j_1, j_2, \dots, j_m\}$. Thus the map

$$\iota_r: \varphi(r) \longrightarrow \{1, 2, \dots, m\},$$

where $\iota_r(i) = k$ for k such that $i = j_k$, witnesses that the set $\varphi(r) \subseteq \{1, 2, \dots, n\}$ is indeed a transversal of $\mathcal{A}_{[p,q]}$, and therefore a base of $M[p, q]$. It is clear from Definition 1.5 that φ is surjective. It is obvious that if we consider only lattice paths of a fixed given length n , then the indexes of the steps towards the North uniquely determine such a lattice path. Thus φ is also injective. \square

Theorem 1.8 ([2], Theorem 3.1). *The class of lattice path matroids is closed under minors, duals and direct sums.*

2 The Western Coline

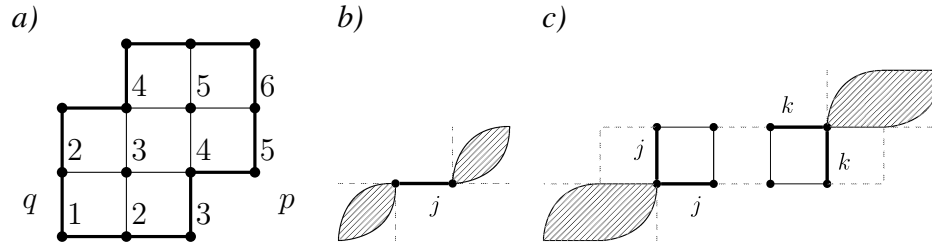


Figure 1: a) Lattice paths for Ex. 1.6, b,c) situation in Prop.2.1 (ii) and (iii).

Proposition 2.1. *Let $p = (p_i)_{i=1}^n$, $q = (q_i)_{i=1}^n$ be lattice paths of length n such that $p \preceq q$. Let $j \in E = \{1, 2, \dots, n\}$ and $M = M[p, q]$. Then*

$$(i) \text{rk}_M(\{1, 2, \dots, j\}) = |\{i \in \{1, 2, \dots, j\} \mid q_i = N\}|.$$

(ii) *The element j is a loop in M if and only if*

$$|\{i \in \{1, 2, \dots, j-1\} \mid p_i = N\}| = |\{i \in \{1, 2, \dots, j\} \mid q_i = N\}|,$$

i.e. the j -th step is forced to go towards East for all $r \in P[p, q]$ (Fig. 1b).

(iii) For all $k \in E$ with $j < k$, j and k are parallel edges in M if and only if

$$\begin{aligned} |\{i \in \{1, 2, \dots, j-1\} \mid p_i = N\}| &= |\{i \in \{1, 2, \dots, k-1\} \mid p_i = N\}| \\ &= |\{i \in \{1, 2, \dots, j\} \mid q_i = N\}| - 1 \\ &= |\{i \in \{1, 2, \dots, k\} \mid q_i = N\}| - 1, \end{aligned}$$

i.e. the j -th and k -th steps of any $r \in P[p, q]$ are in a common corridor towards the East that is one step wide towards the North (Fig. 1c).

Proof. For every $r \in P[p, q]$, we have $r \preceq q$, therefore r is south of q , thus for all $k \in E$, $|\{j \in \{1, 2, \dots, k\} \mid r_k = N\}| \leq |\{j \in \{1, 2, \dots, k\} \mid q_k = N\}|$. Consequently, $\{i \in \{1, 2, \dots, j\} \mid q_i = N\}$ is a maximal independent subset of $\{1, 2, \dots, j\}$ and so statement (i) holds. An element $j \in E$ is a loop in M , if and only if $\text{rk}_M(\{j\}) = 0$, which is the case if and only if $\{j\}$ is not independent in M . This is the case if and only if for all bases B of M , $j \notin B$ holds, because every independent set is a subset of a base. The latter holds if and only if for all $(r_i)_{i=1}^n \in P[p, q]$ the j -th step is towards the East, i.e. $r_j = E$. This, in turn, is the case if and only if $|\{i \in \{1, 2, \dots, j-1\} \mid p_i = N\}| = |\{i \in \{1, 2, \dots, j\} \mid q_i = N\}|$. Thus statement (ii) holds, too. Let $j, k \in E$ with $j < k$. It is easy to see that if j and k are in a common corridor, then every lattice path $r = (r_i)_{i=1}^n$ of length n with $r_j = r_k = N$ cannot be between p and q , i.e. $p \preceq r \preceq q$ cannot hold: a lattice path r with $r_j = r_k = N$ is either below p at $j-1$ or above q at k . Thus $\{j, k\}$ cannot be independent in M . By (i), neither j nor k can be a loop in M , thus j and k must be parallel edges in M . Conversely, let $j < k$ be parallel edges in M . Then j is not a loop in M , so there is a path $r^1 = (r_i^1)_{i=1}^n \in P[p, q]$ with $r_j^1 = N$ which is minimal with regard to \preceq , and then

$$|\{i \in \{1, 2, \dots, j-1\} \mid r_i^1 = N\}| = |\{i \in \{1, 2, \dots, j-1\} \mid p_i = N\}|.$$

Since j and k are parallel edges, $\{j, k\} \not\subseteq B$ for all bases B of M . Therefore there is no $r = (r_i)_{i=1}^n \in P[p, q]$ such that $r_j = r_k = N$. This yields the equation

$$\begin{aligned} |\{i \in \{1, 2, \dots, k\} \mid q_i = N\}| &= |\{i \in \{1, 2, \dots, j\} \mid r_i^1 = N\}| \\ &= |\{i \in \{1, 2, \dots, j-1\} \mid r_i^1 = N\}| + 1. \end{aligned}$$

Since k is not a loop in M , it follows that

$$|\{i \in \{1, 2, \dots, j-1\} \mid p_i = N\}| = |\{i \in \{1, 2, \dots, j\} \mid q_i = N\}| - 1.$$

Thus (iii) holds. \square

Lemma 2.2. *Let $p = (p_i)_{i=1}^n$ and $q = (q_i)_{i=1}^n$ be lattice paths of length n , such that $p \preceq q$, and such that $M = M[p, q]$ is a strong lattice path matroid on $E = \{1, 2, \dots, n\}$ which has no loops. Let $j \in E$ such that $q_j = N$. Then*

$$\{1, 2, \dots, j-1\} = \text{cl}_M(\{1, 2, \dots, j-1\}).$$

Furthermore, for all $k \in E$ with $k \geq j$,

$$\text{rk}_M(\{1, 2, \dots, j-1\} \cup \{k\}) = \text{rk}_M(\{1, 2, \dots, j-1\}) + 1.$$

Proof. By Proposition 2.1 (i), we have

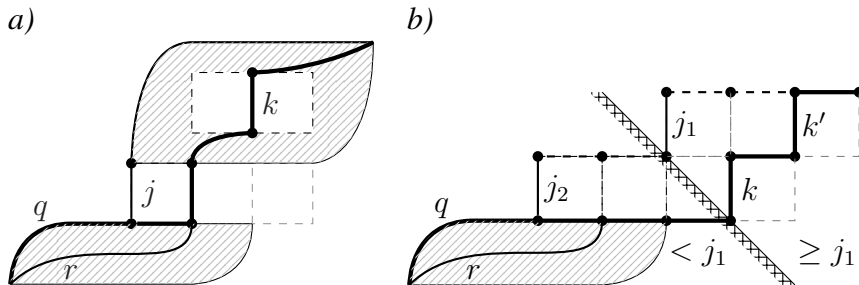
$$\text{rk}_M(\{1, 2, \dots, j-1\}) = |\{i \in \{1, 2, \dots, j-1\} \mid q_i = N\}|.$$

Now fix some $k \in E$ with $k \geq j$. Since M has no loop, there is a base B of M with $k \in B$ and thus a lattice path $r = (r_i)_{i=1}^n \in P[p, q]$ with $r_k = N$ (Theorem 1.7). We can construct a lattice path $s = (s_i)_{i=1}^n \in P[p, q]$ that follows q for the first $j-1$ steps, then goes towards the East until it meets r , and then goes on as r does (Fig. 2a). The base $B_s = \{i \in E \mid s_i = N\}$ that corresponds to the constructed path yields

$$\begin{aligned} \text{rk}_M(\{1, 2, \dots, j-1\} \cup \{k\}) &\geq |(\{1, 2, \dots, j-1\} \cup \{k\}) \cap B_s| \\ &= 1 + |\{i \in \{1, 2, \dots, j-1\} \mid q_i = N\}| \\ &= 1 + \text{rk}_M(\{1, 2, \dots, j-1\}). \end{aligned}$$

Since rk_M is unit increasing, adding a single element to a set can increase the rank by at most one, thus the inequality in the above formula is indeed an equality.

Figure 2: The lattice paths s in the proof of a) Lem. 2.2 and b) Thm. 2.3.



This implies that $k \notin \text{cl}_M(\{1, 2, \dots, j-1\})$. Since k was arbitrarily chosen with $k \geq j$, we obtain $\{1, 2, \dots, j-1\} = \text{cl}_M(\{1, 2, \dots, j-1\})$. \square

Theorem 2.3. *Let $p = (p_i)_{i=1}^n$, $q = (q_i)_{i=1}^n$ be lattice paths, such that $p \preceq q$ and such that $M = M[p, q] = (E, \mathcal{I})$ has no loop and no parallel edges, and $\text{rk}_M(E) \geq 2$. Let $N_q = \{i \in E \mid q_i = N\}$, $j_1 = \max N_q$, and $j_2 = \max N_q \setminus \{j_1\}$. Then the following holds*

- (i) $\{1, 2, \dots, j_2 - 1\}$ is a coline of M , we shall call it the Western coline of M .
- (ii) $\{1, 2, \dots, j_1 - 1\}$ is a copoint on the Western coline of M , which is a multiple copoint whenever $j_1 - j_2 \geq 2$.
- (iii) For every $k \geq j_1$ the set $\{1, 2, \dots, j_2 - 1\} \cup \{k\}$ is a simple copoint on the Western coline of M .

Proof. Lemma 2.2 provides that the set $W = \{1, 2, \dots, j_2 - 1\}$ as well as the set $X = \{1, 2, \dots, j_1 - 1\}$ is a flat of M . By construction of j_1 and j_2 we have that $\text{rk}(W) = \text{rk}(E) - 2$ and $\text{rk}(X) = \text{rk}(E) - 1$. Thus W is a coline of M — so (i) holds — and X is a copoint of M , which follows from and the construction of j_2 and j_1 . Since $|X \setminus W| = |\{j_2, j_2 + 1, \dots, j_1 - 1\}| = j_1 - j_2$ we obtain statement (ii). Let $k \geq j_1$, and let $X_k = \{1, 2, \dots, j_2 - 1\} \cup \{k\}$. Lemma 2.2 yields that $\text{rk}(X_k) = \text{rk}(E) - 1$, thus $\text{cl}(X_k)$ is a copoint on the Western coline W . It remains to show that $\text{cl}(X_k) = X_k$, which implies that X_k is indeed a simple copoint on W . We prove this fact by showing that for all $k' \geq j_1$, $\text{rk}(X_k \cup \{k'\}) = \text{rk}(E)$ by constructing a lattice path. Without loss of generality we may assume that $k < k'$. Since M has no loops and no parallel edges, there is a lattice path $r = (r_i)_{i=1}^n \in P[p, q]$ with $r_k = r_{k'} = N$. There is a lattice path $s = (s_i)_{i=1}^n \in P[p, q]$ that follows q for the first $j_2 - 1$ steps, then goes towards the East until it meets r , and then goes on as r does (Fig. 2b). The constructed path s yields that

$$\begin{aligned} \text{rk}(X_k \cup \{k'\}) &\geq |(W \cup \{k, k'\}) \cap \{i \in E \mid s_i = N\}| \\ &= 2 + |W \cap \{i \in E \mid q_i = N\}| \\ &= 2 + \text{rk}(W) = 1 + \text{rk}(X_k) = 1 + \text{rk}(X_{k'}), \end{aligned}$$

where $X'_k = W \cup \{k'\}$. Thus $k' \notin \text{cl}(X_k)$ and $k \notin \text{cl}(X'_k)$. This completes the proof of statement (iii). \square

Theorem 2.4. *Let $M = (E, \mathcal{I})$ be a strong lattice path matroid with $\text{rk}_M(E) \geq 2$ such that $|E| = n$ and such that M has neither a loop nor a pair of parallel edges. Then either the Western coline is quite simple, or the element $n \in E$ is a coloop, and in the latter case there is either another coloop or $\text{rk}_M(E) \geq 3$.*

Proof. If $j_1 \leq n - 1$ as defined in Theorem 2.3, $W = \{1, 2, \dots, j_2 - 1\}$ has at most a single multiple copoint and at least two simple copoints, therefore it is quite simple. Otherwise $j_1 = n$ is a coloop. If there is another coloop e_1 , then $\{1, 2, \dots, n - 1\} \setminus \{e_1\}$ is a quite simple coline with two simple copoints. If n is the only coloop, the rank of M is 2, and there is no other coloop, then this would imply that there are parallel edges — a contradiction to the assumption that M is a simple matroid. \square

3 Lattice Path Matroids are 3-Colorable

Corollary 3.1. *Every simple lattice path matroid $M = (E, \mathcal{I})$ with $\text{rk}_M(E) \geq 2$ has a quite simple coline.*

Proof. Without loss of generality, we may assume that M is a strong lattice path matroid on $E = \{1, 2, \dots, n\}$, and we may use j_1 and j_2 as defined in Theorem 2.3. From Theorem 2.4, we obtain the following: If $j_1 < n$, the Western coline is quite simple. Otherwise, if $j_1 = n$, then n is a coloop. If there is another coloop e_1 , then $\{1, 2, \dots, n - 1\} \setminus \{e_1\}$ is a quite simple coline. If there is no other coloop, then we have $\text{rk}_M(E) \geq 3$, and the contraction $M' = M \setminus E \setminus \{n\}$ is a strong lattice path matroid without loops, without parallel edges, and without coloops, such that $\text{rk}_{M'}(E \setminus \{n\}) = \text{rk}_M(E) - 1 \geq 2$. Thus the corresponding $j'_1 < n - 1$ and the Western coline W' of M' is quite simple in M' (Theorem 2.4). But then $\tilde{W} = W' \cup \{n\}$ is a coline of M , and \tilde{X} is a copoint on \tilde{W} with respect to M if and only if $X' = \tilde{X} \setminus \{n\}$ is a copoint on W' with respect to M' . Since $|\tilde{W} \setminus \tilde{X}| = |W' \setminus X'|$, we obtain that \tilde{W} is a quite simple coline of M . \square

Definition 3.2 ([3], Definition 2). Let \mathcal{O} be an oriented matroid. We say that \mathcal{O} is *generalized series-parallel*, if every non-trivial minor \mathcal{O}' of \mathcal{O} with a simple underlying matroid $M(\mathcal{O}')$ has a $\{0, \pm 1\}$ -valued coflow which has exactly one or two nonzero-entries.

Lemma 3.3 ([3], Lemma 5). *If an orientable matroid M has a quite simple coline, then every orientation \mathcal{O} of M has a $\{0, \pm 1\}$ -valued coflow which has exactly one or two nonzero-entries.*

For a proof, see [3].

Remark 3.4. A simple matroid of rank 1 has only one element, no circuit and a single cocircuit consisting of the sole element of the matroid; so every rank-1

oriented matroid is generalized series-parallel. Observe that every simple matroid $M = (E, \mathcal{I})$ with $\text{rk}_M(E) = 2$ is a lattice path matroid, as it is isomorphic to the strong lattice path matroid $M[p, q]$ where $p = (p_i)_{i=1}^{|E|}$ with

$$p_i = \begin{cases} \text{E} & \text{if } i < |E| - 2, \\ \text{N} & \text{otherwise,} \end{cases}$$

and where $q = (q_i)_{i=1}^{|E|}$ with

$$q_i = \begin{cases} \text{N} & \text{if } i \leq 2, \\ \text{E} & \text{otherwise.} \end{cases}$$

Therefore Lemma 3.3 and Corollary 3.1 yield that \mathcal{O} has a $\{0, \pm 1\}$ -valued coflow which has exactly one or two nonzero-entries. Consequently, every oriented matroid $\mathcal{O} = (E, \mathcal{C}, \mathcal{C}^*)$ with $\text{rk}_{M(\mathcal{O})}(E) \leq 2$ is generalized series-parallel.

Corollary 3.5. *All orientations of lattice path matroids are generalized series-parallel.*

Proof. Lemma 3.3, Remark 3.4, Theorem 1.8 and Corollary 3.1. □

Theorem 3.6 ([3], Theorem 3). *Let $\mathcal{O} = (E, \mathcal{C}, \mathcal{C}^*)$ be a generalized series-parallel oriented matroid such that $M(\mathcal{O})$ has no loops. Then there is a nowhere-zero coflow $F \in \mathbb{Z} \cdot \mathcal{C}^*$ such that $|F(e)| < 3$ for all $e \in E$. Thus $\chi(\mathcal{O}) \leq 3$.*

For a proof, see [3].

Corollary 3.7. *Let \mathcal{O} be an oriented matroid such that $M(\mathcal{O})$ is a lattice path matroid without loops. Then $\chi(\mathcal{O}) \leq 3$.*

Proof. Theorem 3.6 and Corollary 3.5. □

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